

# Synthesis with Incomplete Information

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# Introduction

- What is verification?
  - Check whether program  $P$  satisfies specification  $\psi$ 
    - Potentially use counterexamples to refine and recheck
- When do we get  $\psi$  and  $P$ ?

# Program synthesis

- *synth* : specification  $\psi \rightarrow$  program  $P$ 
  - such that  $P \models \psi$
- What is  $\psi$ ?
  - Straight-line vs. reactive
- Temporal logic

# Temporal logic for program synthesis

- Linear or branching time?
  - CTL describes trees, viz. unfolded programs
  - LTL describes words, viz. computations
- CTL<sup>\*</sup>
  - General method using standard constructions
  - $\text{synth}(\psi) : \text{CTL}^* \rightarrow \text{program } P$ 
    - (s.t.  $P \models \psi$ )

# What are our specifications?

- Quantification

- $x$  is the input

- $y$  is the output

- $\forall x \forall y. \psi?$   $\forall x \exists y. \psi?$   $\exists x \forall y. \psi?$   $\exists x \exists y. \psi?$

- Complete, open module:  $\forall x \exists y. \psi$

- In fact:  $\forall x \exists y. A\psi$

- Is every  $\psi \in \text{CTL}^*$  *realizable*?

- $\psi = x \quad \neg x$

# A money-making proposal

Step 1:  $\psi = G(Fx \Leftrightarrow y)$

Step 2: ???

Step 3: Profit!

- What is wrong with  $\forall x \exists y. AG(Fx \Leftrightarrow y)$ ?
  - Satisfiable: let  $y$  be  $Fx$ .
  - Valid: is satisfiable and has no free variables.

# The program synthesis hydra

- Two problems
  - Identification of *realizability*
  - Realization (read “program synthesis”)

# Realizability

- A formula  $\psi \in \text{CTL}^*$  is realizable *iff* there exists a program  $P$  such that  $P \models \psi$ 
  - Any (deterministic) program  $P$  can be seen as a *strategy function*  $P : (2^I)^* \rightarrow 2^O$



# Incomplete information

- With “incomplete information”, there are two disjoint sets of input signals, I and E
  - I is known
  - E is unknown
    - Iterative realizability checks
    - $I \cap E = S$
- Strategy functions see only I

# Informal discussion

- **Trees**
- Tree automata
- Emptiness checks
- Program synthesis

# Trees

- For a finite set  $Y$ , an  $Y$ -tree is a set  $T \subseteq Y^*$ 
  - s.t.  $x \cdot v \in T \quad v \in Y \Rightarrow x \in T$
- $dir(x \cdot v) \equiv v$  ;  $dir(\varepsilon) = v^0$
- $T$  is a *full infinite tree* iff  $T = Y^*$
- For finite sets  $Y$  and  $\Sigma$ , a  $\Sigma$ -labeled  $Y$ -tree is a pair  $\langle T, V \rangle$ 
  - $T$  is an  $Y$ -tree
  - $V : T \rightarrow \Sigma$

# Tree operators: *x-ray*

- $x\text{-ray}(\langle T, V \rangle)$  is an  $(Y \times \Sigma)$ -labeled  $Y$ -tree  $\langle T', V' \rangle$ 
  - s.t.  $V'(x) = \langle \text{dir}(x), V(x) \rangle$

# Tree operators: *hide* and *wide*

- $hide_Y(T \subseteq (X \times Y)^*) = T' \subseteq X^*$  replacing each letter  $\langle x, y \rangle$  with the letter  $x$
- $wide_Y(\langle T \subseteq X^*, V \rangle) = \langle T', V' \rangle$ 
  - s.t.  $T' \subseteq (X \times Y)^*$  is a full infinite tree and  $V'(w) = V(hide_Y(w))$

# Tree operators: *fat*

- $fat_y$  is a generalization of  $wide_y$
- $fat_y(\langle T \subseteq X^*, V \rangle) = \{ \langle T' \subseteq X^* \times Y^*, V' \rangle \mid$   
 $V'(\varepsilon) = V(\varepsilon)$   
 $\forall w \in (X^* \times Y^*)^+ . V'(w) = V(hide_y(w)) \quad (dir(w) \in E) \}$
- $wide'_y(\langle T, V \rangle) \in fat_y(\langle T, V \rangle)$ 
  - $V'(\varepsilon) = V(\varepsilon)$
  - If  $V$  is  $X$ -exhaustive:
    - $wide'_y(\langle T, V \rangle) = x\text{-ray}(wide_y(\langle T, V \rangle))$

# Computation trees

- Given a full infinite  $2^I$ -tree, a program  $P$  induces a  $2^O$ -labeled tree  $\langle (2^I)^*, P \rangle$
- Add in  $E$ :  $\langle (2^{I \cup E})^*, P' \rangle = \text{wide}_{(2^E)}(\langle (2^I)^*, P \rangle)$
- A computation tree is the  $2^{I \cup E \cup O}$ -labeled tree  $\langle (2^{I \cup E})^*, P'' \rangle = \text{x-ray}(\langle (2^{I \cup E})^*, P' \rangle)$

# Informal discussion

- **Trees**
- **Tree automata**
- **Emptiness checks**
- **Program synthesis**



# Tree automata

- Seen during Yoram and Shahar's presentation of CTL automata
- Alternating form equivalent to  $\mathcal{L}\mu$  [EJ91]
  - CTL\* is contained, of course
- $\delta : Q \times \Sigma \rightarrow B^+(Y \times Q)$ 
  - A state has transitions for a given input label
  - Boolean formula over the tree direction and next states

# CTL\* automata

- Automaton  $A_{Y,\psi}$  from  $\psi \in \text{CTL}^*$  and a set  $Y$ 
  - $O(2^{|\psi|})$  states
  - 2-pair acceptance condition
- Accepts computation trees
  - $2^{\text{AP}}$ -labeled  $Y$ -trees  $\langle T, V \rangle$ 
    - $\text{AP} = \{I, E, O\}$
    - $Y = 2^{\{I, E\}}$
  - $\mathcal{L}(A_{Y,\psi}) = \{\langle T, V \rangle \mid \langle T, V \rangle \models \psi\}$

# Informal discussion

- **Trees**
- **Tree automata**
- **Emptiness checks**
- **Program synthesis**

# Our problem, formally

- Given a CTL\* formula  $\psi$  over  $AP = I \quad E \quad O$  (disjoint), is there a program  $P$  such that its computation tree satisfies  $\psi$ ?
- Given a tree automaton  $A$  accepting only trees that satisfy  $\psi$ , is  $\mathcal{L}(A)$  empty?
  - Realizability only?

# Emptiness (informally)

- $\mathcal{L}(A) \neq \emptyset \Leftrightarrow \exists \langle T, V \rangle$  s.t.  $A$  accepts  $\langle T, V \rangle$ 
  - $A$  accepts  $\langle T, V \rangle \Leftrightarrow \exists$  a run of  $A$  on  $\langle T, V \rangle$  that accepts
  - This run makes “determinations”
- Given regular determinations, we have a deterministic tree automaton on  $Y$ -trees labeled by  $\Sigma$ 
  - $Y = 2^I$
  - $\Sigma = 2^O$

# Informal discussion

- **Trees**
- **Tree automata**
- **Emptiness checks**
- **Program synthesis**
- ~~**Break!**~~
- **Program synthesis**

# The synthesis theorem

Given an alternating tree automaton  $A$  over  $\Sigma$ -labeled  $Y$ -trees, the following are equivalent:

- $A$  is nonempty.
- There is a finite-state strategy  $f : Y^* \rightarrow \Sigma$ 
  - s.t.  $\langle Y^*, f \rangle \in \mathcal{L}(A)$

Nonemptiness algorithms can be extended to generate this strategy.

# Programs?

- Emptiness checks can generate “programs”
  - Input alphabet:  $\Upsilon = 2^{I \cup E}$
  - Output alphabet:  $\Sigma = 2^{AP} = 2^{I \cup E \cup O}$
- Real program
  - $\Upsilon = 2^I$
  - $\Sigma = 2^O$
- Never mind that  $A_{\Upsilon, \psi}$  is alternating...



# Automaton labels

- Given  $A_{Y,\psi}$ , generate  $A'$ :
  - $\Upsilon = 2^I \quad E$
  - $\Sigma = 2^O$
  - $\mathcal{L}(A') = \mathcal{L}(A_{Y,\psi})$  projected onto  $2^O$
- $O(|A|)$  transformation  $\text{cover}(A)$ 
  - $A'$  accepts  $\langle Y^*, V \rangle \Leftrightarrow$   
     $A$  accepts  $x\text{-ray}(\langle Y^*, V \rangle)$

# *cover*( $A_{Y,\psi}$ )

- $A_{Y,\psi}$  has alphabet  $2^{|Y|} \times \Sigma$ 
  - $A'$  wants alphabet  $2^{|Y|} \times \Sigma$
- $A_{Y,\psi}$  has state-set  $Q$ 
  - $A'$  can have  $Q \times Y$
- Record ( $u \in Y$ ) directions in each state of  $A'$ 
  - Start state  $\langle q_0, v^0 \rangle$
  - $\delta'(\langle q, u \rangle, \sigma) = \delta(q, \langle u, \sigma \rangle)$ 
    - Changing  $(u', q')$  in  $\delta$  to  $(u', \langle q', u' \rangle)$  in  $\delta'$
  - $q$  is accepted  $\Rightarrow (q, u)$  is accepted; and v.v.

# Pruning the tree (automaton)

- $Y = 2^E$ 
  - But the program shouldn't see unknown events
- Automaton  $A$  over  $\Sigma$ -labeled  $(X \times Y)$ -trees, generate  $narrow_Y(A) = A'$  over  $\Sigma$ -labeled  $X$ -trees:
  - $A'$  accepts  $\langle X^*, V \rangle \Leftrightarrow A$  accepts  $wide_Y(\langle X^*, V \rangle)$
  - Simple:
    - $Q = (X \times Y)$ ;  $Q' = X$
    - $\delta'(q, z) = \delta(q, z)$  replacing  $\langle x, y \rangle, q'$  with  $(x, q')$

# Quick proof of $narrow_Y$

- $wide_Y(\langle X^*, V \rangle) \in \mathcal{L}(A) \Rightarrow \langle X^*, V \rangle \in \mathcal{L}(A')$ 
  - States the same
  - Pick an accepting run, drop the Y component
- $\langle X^*, V \rangle \in \mathcal{L}(A') \Rightarrow wide_Y(\langle X^*, V \rangle) \in \mathcal{L}(A)$ 
  - Define  $A''$  with states  $Q \times Y$  marking Y direction
    - $\mathcal{L}(A'') = \mathcal{L}(A)$
  - A run through states in  $A''$  can be adjusted to a run in  $A$

# Program synthesis

- Create  $A_{\gamma, \psi}$  over  $2^{I \cup E \cup O}$ -labeled  $2^{I \cup E}$ -trees
- Compute  $A'' = \text{narrow}_{(2^E)}(\text{cover}(A_{\gamma, \psi}))$  over  $2^O$ -labeled  $2^E$ -trees
  - $\langle (2^I)^*, P \rangle \in \mathcal{L}(A'') \Rightarrow P \models \psi \Rightarrow \psi$  realizable
  - $\psi$  realizable  $\Rightarrow$   
 $\exists$  a computation tree  $\in \mathcal{L}(A_{\gamma, \psi}) \Rightarrow$   
 $x\text{-ray}(\text{wide}_{(2^E)}(\langle (2^I)^*, P \rangle)) \in \mathcal{L}(A_{\gamma, \psi}) \Rightarrow$   
 $\text{wide}_{(2^E)}(\langle (2^I)^*, P \rangle) \in \mathcal{L}(\text{cover}(A_{\gamma, \psi})) \Rightarrow$   
 $\langle (2^I)^*, P \rangle \in \mathcal{L}(\text{narrow}_{(2^E)}(\text{cover}(A_{\gamma, \psi})))$
- Constructive emptiness check

Formally...

# Formal Discussion

- **A fixpoint-based emptiness check**
- CTL program synthesis

# Emptiness

- $O((mn)^{3n})$  for nondeterministic tree automata [EJ88]
  - $m = |A|$
  - $n =$  number of pairs in Rabin acceptance condition  $\Gamma$
- Works by model checking (sort of)
  - $A\Phi_\Gamma = A(\dots (GF(g_\gamma) \quad FG(\neg b_\gamma)) \dots)$ 
    - $i \in \{0 \dots m - 1\}$



# “Model checking” Overview

- Nondeterministic tree automata aren't convenient
- Convert to an AND/OR diagram  $T$ , pseudo-model-check
  - $|T|$  is  $O(|A|)$
- $\mu Y. \bigwedge_{\gamma \in \Gamma} \text{AFAG}((\neg b_{\gamma} \quad Y) \quad A(\text{Fg}_{\gamma} \quad \Phi_{\Gamma/\{Y\}}))$ 
  - $\Phi_{\Gamma}$  also adjusted
  - $T, s \models A\Phi_{\Gamma} \Leftrightarrow T, s \models \exists i. Y^i, i \leq |T|$

# “Model checking” $A(Fg_Y \quad \Phi_{\Gamma/\{Y\}})$

- LHS:  $T, s \quad AFq \Leftrightarrow T, s \quad \mu x.(q \quad EXAXx)$
- $val(T, AFg_Y) \quad val(T, A\Phi_{\Gamma/\{Y\}})$  doesn't work
  - Make it disjoint manually?
- RHS:  $val(T/val(T, AFg_Y), A\Phi_{\Gamma/\{Y\}})$ 
  - Recursive in other pairs

# “Model checking” $AG(g_Y(Y))$

- $g_Y(Y) = (\neg B_Y \quad Y) \quad A(Fg_Y \quad \Phi_{\Gamma/\{Y\}})$
- $AGr = vx.(r \quad AXx)$
- Determinations from the RHS?
  - $z^k = vz.val(z, g_Y(Y)) \quad EXAX(z)$ 
    - $z$  is initially  $val(T, g_Y(Y))$
  - $T, s \quad AGg_Y(Y^i) \Rightarrow$ 
    - $s \in z^k \Rightarrow$
    - $T, s \quad \exists \alpha. AGg_Y(Y^\alpha)$

# Finishing “Model checking”

- $\mu x.(\text{val}(T, \text{AG}(g_\gamma(Y)))) \quad \text{EXAXx}$ 
  - $T, s \quad \text{AFAG}g_\gamma(Y^i) \Rightarrow$   
 $s \in \text{val}(T, \text{AFAG}g_\gamma(Y^i)) \Rightarrow$   
 $T, s \quad \exists \alpha. \text{AFAG}g_\gamma(Y^\alpha)$
- Do all of that for each  $\gamma$ 
  - $O(|\Gamma||T|^2)$  sub-checks for  $|\Gamma|-1$  pairs
  - Total work:  $O((|\Gamma||T|)^{3|\Gamma|})$

# The delightful side-effect

- A good thing: our final fixpoint is a deterministic subgraph satisfying  $\psi$ !
  - A “Hintikka structure”, necessitated by the Small Model Theorem
- Extracted Hintikka structures can be massaged into “transducers”
  - From I to O: a program!

# Formal Discussion

- **A fixpoint-based emptiness check**
- **CTL program synthesis**

# CTL as $\mathcal{L}_{\text{spec}}$

- CTL is very widely used and understood
- Clearer translation
- Simpler than CTL\*  $\Rightarrow$  improved complexity
  - Less expressive...

# The automaton

- $A_\psi$  over  $2^l$   $0$ -labeled  $2^l$ -trees
  - $O(|\psi|)$  states
    - $q_0, \{ c/(\psi) \times \{ \exists, \forall \} \}$
  - Büchi acceptance condition
    - $A_\psi$  accepts  $\langle T, V \rangle \Leftrightarrow wide'_{2^E}(\langle T, V \rangle) \quad \psi$
  - Transition function  $\delta$  as in Yoram and Shahar's presentation
    - Adjusted for modes



# The pre-transition relation $\delta'$

- $\delta'(\psi, \sigma) : \mathcal{C}(\psi) \times 2^I \times \mathcal{E} \times \mathcal{O} \rightarrow B^+(2^I \times Q)$ 
  - $\delta'(p \in I \times \mathcal{E} \times \mathcal{O}, \sigma) = p \cup \sigma$
  - Logical connectives carry through
  - $MX$  becomes  $(\psi, M)$ 
    - over inputs for  $E$ , over inputs for  $A$
  - $M[\psi_1 \cup \psi_2]$  becomes the usual or/recursive and
    - Same input connectives as  $X$
  - $MG$  is the same as  $M[\text{true} \cup \psi]$

# The transition relation $\delta$

- For  $\langle \psi, M \rangle \in c/(\psi)$  and  $u \in 2^I \times 2^O$ 
  - $\delta(\langle \psi, M \rangle, u) = \delta'(\psi, u, \tau)$ 
    - $M = \exists$ : over all  $\tau$  in  $2^E$
    - $M = \forall$ : over all  $\tau$  in  $2^E$
- $\delta(q_0, u) = \delta'(\psi, u)$
- Some reductions:
  - $p \in E$  can be reduced to true/false for  $\exists/\forall$
  - $EX$  and  $AX$  are  $\delta'$  regardless of state mode
    - $\delta(\langle EX, M \rangle, u) = \delta'(EX, u)$

# $2^l$ -exhaustiveness

- Recall:  $A_\psi$  accepts  $\langle T, V \rangle \Leftrightarrow \text{wide}'_2 E(\langle T, V \rangle) \quad \psi$
- $V$  need not be  $2^l$ -exhaustive
  - i.e.  $V(w) \neq 2^l \quad \text{dir}(w) \neq 2^l$
  - $A_\psi$  accepts incomplete computation trees
- Good news:  $X$ -exhaustiveness is regular!
  - Build an automaton  $A_{\text{exh}}$

# Emptiness for Nondeterministic Büchi Tree Automata

- Büchi condition allows for PTIME method [VW84]
- Finds Hintikka structures

# CTL program synthesis

- Generate  $A_\psi$  (alternating)
- Cross with  $A_{\text{exh}}$  (nondeterministic)
- Perform constructive emptiness test
- EXPTIME
  - Exponential blowup on conversion from alternating to nondeterministic
  - Polynomial emptiness check

# Discussion

- Useful?
- Tractable?
- Implementation?